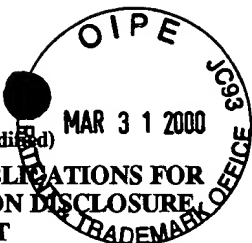


FOR PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)



ATTY. DOCKET NO. 480.18-4 (HV)	SERIAL NO. 09/392,682
APPLICANT DIETER C. GRUENERT, ET AL	
FILING DATE September 9, 1999	GROUP <del>4692</del> 1636

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS										DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EXAMINER INITIAL																
<i>mf</i>	A	4	9	5	0	5	9	9				8/21/90	BERTLING	435	<del>423</del> 456	1/29/87

FOREIGN PATENT DOCUMENTS													
								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO

OTHER		ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
<i>mf</i>	O	ERIC J. SORSCHER et al, Gene Therapy for Cystic Fibrosis Using Cationic Liposome Mediated Gene Transfer: A Phase I Trial of Safety and Efficacy in the Nasal Airway, Human Gene Therapy, 5:1259-1277, October 1994.														
	P	JAMES M. WILSON et al, Gene Therapy of Cystic Fibrosis Lung Disease Using E1 Deleted Adenoviruses: A Phase I Trial, Human Gene Therapy, 5:501-519, 1994.														
	Q	NATASHA J. CAPLEN et al, Liposome-mediated CFTR gene transfer to the nasal epithelium of patients with cystic fibrosis, Nature Medicine, Volume 1, Number 1, pp. 39-46, January 1995.														
	R	RICHARD M. BOUCHER et al, Gene Therapy for Cystic Fibrosis Using E1-Deleted Adenovirus: A Phase I Trial in the Nasal Cavity, Human Gene Therapy 5:615-639, 1994														
	S	MITCHELL J. GOLDMAN et al, Gene therapy in a xenograft model of cystic fibrosis lung corrects chloride transport more effectively than the sodium defect, Nature Genetics, Volume 9, pp. 126-131 February 1995.														
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	W	J.A. Dodge, Gene therapy for cystic fibrosis, Nature Medicine, Volume 1, Number 3, pp 182, March 3, 1995														
	X	DEBORAH ERICKSON, Genes to Order, Scientific American, pp 112-114, June 1992														
	Y	JAMES M. WILSON, Vehicles for gene therapy, Nature, Vol 365, pp 691-692, October 21, 1993														

EXAMINER

*mf Joel*

DATE CONSIDERED

12/27/2000

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FOR PTO-1449 (Modified)  
**LIST OF PATENTS AND PUBLICATIONS FOR  
 APPLICANTS INFORMATION DISCLOSURE  
 STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

480.18-1 (HV)

SERIAL NO.

09/392,682

APPLICANT

DIETER C. GRUENERT, ET AL

FILING DATE September 9, 1999

GROUP 4632 1636

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL										DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

										DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO

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Z	ERIC ALTON & DUNCAN GEDDES, A mixed message for cystic fibrosis gene therapy, Nature Genetics, Volume 8, pp 8-9, September 1994													
AA	JAMES J. LOGAN et al, Cationic lipids for reporter gene and CFTR transfer to rat pulmonary epithelium, Gene Therapy 2, pp 38-49, 1995													

EXAMINER

DATE CONSIDERED

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12/27/2000

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.